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An Integrated Stroke Model with a Consistent Penumbra for the Assessment of Neuroprotective Interventions

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Key Words

Animal model · Ischemic stroke · 3-vessel occlusion · Surgical procedures · Preclinical study

Abstract

Background/Aim: A longer period of vessel occlusion reduces the coefficient of variation of the infarct lesion size l'infarct variation coefficient' (IVC)] due to a gradual expansion of the lesion within a limited territory defined by the vascular anatomy, but it increases the mortality rate. A crucial issue in the induction of experimental focal cerebral ischemia has been to achieve a low IVC value and a low mortality rate. We attempted to improve IVC and mortality using the 3-vessel occlusion model. Methods: We introduced a new, transtemporal fascia approach to expose the zygomatic arch, in which the fascia of the temporal muscle is cut and retracted dorsally together with the facial nerve and the vein en bloc. **Results/Conclusion:** The approach avoided traumatic venous bleeding around the zygomatic arch. We established a bloodless model of focal ischemia that can produce a consistent degree of reduction in the regional cerebral blood flow within the ischemic penumbra. The model, charac-

terized by a 15-min ischemia, an IVC of 15–21%, and low mortality after ischemia, is expected to produce reliable preclinical evidence in the assessment of neuroprotective interventions for ischemic stroke. The entire procedure is presented in the online supplementary video (www. karger.com/doi/10.1159/000356048). © 2013 S. Karger AG, Basel

Introduction

Current knowledge on the pathophysiology of ischemic stroke is largely based on research utilizing animal models of transient, focal cerebral ischemia that mimic ischemic stroke induced by the occlusion of the middle cerebral artery (MCA) with rich collateral anastomosis, mostly combined with simultaneous, tandem, or multiple occlusion of cerebral arteries [1]. Focal ischemia models have played an important role in understanding the pathophysiology of cerebral infarction, but existing mod-

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E-Mail karger@karger.com www.karger.com/ene This is an Open Access article licensed under the terms of the Creative Commons Attribution-NonCommercial 3.0 Unported license (CC BY-NC) (www.karger.com/OA-license), applicable to the online version of the article only. Distribution permitted for non-commercial purposes only. H. Yanamoto, MD, DMSc Laboratory of Neurology and Neurosurgery National Cerebral and Cardiovascular Research Center 5-7-1 Fujishiro-dai, Suita, Osaka 565-8565 (Japan) E-Mail hyanamot@res.ncvc.go.jp els have limited reliability for evaluating neuroprotective interventions as many favorable results obtained in animal models have not been translated into human clinical studies [2–6].

Focal cerebral ischemia, excluding the lacunar type, occurs due to simultaneous multiple vessel occlusion, with or without preexisting occlusion or stenosis, causing a significant reduction of regional blood flow (rCBF) below a critical level. Developmentally rich collateral anastomoses exist throughout the brain, including the neocortex; this is the cerebrovascular reserve system, a potent mechanism for the rescue of brain tissue from critical reductions of rCBF, comprising multiple feeding arteries that increase blood supply to ischemic territories. Electrophysiological dysfunction is regarded as a key event in the pathogenesis of ischemic brain injury. A reduction in rCBF below 15-18 ml/100 g/min was found to cause immediate electrical dysfunction of neurons (expressed as electrical silence), as observed by flattening of the EEG or abolition of somatosensory-evoked potentials in cats, baboons, and humans [7–9]. That portion of the brain below the threshold for complete electrical silence on EEG (reversible depolarization), but above the threshold for complete energy failure (persistent or terminal depolarization), is referred to as the ischemic penumbra [7-9]. Persistent or terminal depolarization in the ischemic core triggers repeated ripple-like spreading depolarization that spreads to the surrounding structures, referred to as peri-infarct depolarization, which causes transient reductions in rCBF and transient or persistent depression of electrical activity (electrical silence on EEG) [10-14]. Importantly, the peri-infarct depolarization phenomenon propagates not only in the penumbra, but also to the healthy surrounding structures, causing transient electrical silence on EEG accompanied by arterial constriction or dilatation [13].

The state in which there is reduced rCBF but where a normal cerebral metabolic rate of oxygen is maintained by increased the oxygen extraction fraction with compensatory dilatation of collateral arteries is referred to as misery perfusion, or stage 2 [15]. Although energy metabolism is preserved both in the area of misery perfusion and the ischemic penumbra, extended tissue viability is maintained only in the area of misery perfusion, at least for more than a few hours without any intervention [16, 17]. In a review article, Hossmann [16] redefined the concept of the ischemic penumbra as a region of constrained blood supply with preserved energy metabolism, and noted that the region would be infarcted within a few hours by the peri-infarct depolarization phenomenon. It has

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been postulated that the recurrent peri-infarct depolarization observed on measurement of the direct current potential, with subsequent reduction in the rCBF, is the principal cause of gradual tissue damage in the penumbra [13].

In humans, an rCBF \geq 19 ml/100 g/min, or \geq 32% of preischemic control values, maintains normal cerebral function [18]. Irrespective of species differences, a reduction below 15-19 ml/100 g/min, or <25-32% rCBF of preischemic control values, generates functional impairment (i.e. electrical silence on EEG) [7, 11, 19]. More severe ischemia, below the lower threshold of 6 ml/100 g/min rCBF, or <10% rCBF of preischemic control values, causes immediate persistent depolarization, complete energy failure, and cell death [7, 11, 18, 19]. In spontaneously hypertensive rats, however, persistent ischemic depolarization was observed in a region where it was <50 ml/ 100 g/min, or 38 ± 13 ml/100 g/min on average [20], and cerebral infarction developed in a region where there was <50 ml/100 g/min rCBF [21]. Thus, the threshold for the induction of cerebral infarction is not always the same, but it is affected by individual vascular pathophysiology such as chronic hypertension.

In a study of positron emission tomography performed several hours after the onset of ischemic stroke, tissue with an rCBF <12 ml/100 g/min, or <20% rCBF of preischemic control values, became infarcted on later computed tomography [9]. However, when thrombolytic reperfusion therapy was successful in the acute phase, it was possible to salvage 77.3% of the gray matter at risk, in which the initial rCBF (measured within 3 h after the ictus, before a therapeutic intervention) was <12 ml/100 g/ min, or <20% [9]. Taken together, an rCBF <20% was found to cause irreversible tissue damage in a short period of time (within a few hours), but was not the threshold that caused terminal depolarization, leading to immediate cell death.

Any animal model that is used to evaluate the degree of cerebral damage due to focal ischemia must induce a critical but treatable area – the ischemic penumbra – between the upper and lower thresholds of 10 and 32% of the rCBF at least for a certain period, and any neuroprotective intervention must be initiated within the limits of viability of neurons in this area [7, 11, 18]. Because the basal ganglia are not protected by a system of vascular reserve, severe ischemia, rCBF <10%, occurs after obstruction of the MCA involving the lenticulostriate arteries [19]. Belayev et al. [22], by analyzing topographic hemodynamics in the ischemic region induced by the method of intraluminal thread insertion (ITI) in rats in which the

coefficient of variation of the size of the infarct ['infarct variation coefficient' (IVC), i.e. percent of standard deviation (SD) of the mean infarct lesion volume] was 8% (n = 5/group), defined the region where rCBF is reduced to 20-40% of control (contralateral) values as the 'penumbra', and the more ischemic region with <20% of control rCBF, which contributed 70% of the infarcted lesion, as the 'core'. However, glucose metabolism in the penumbra was normal at the end of ITI-induced 2-hour ischemia [22, 23], indicating that the degree of reduction in rCBF was not sufficient to induce irreversible tissue damage within 2 h in rats (i.e. 12 h in humans, as described in the Discussion). Although the reason is unknown, glucose metabolism in the penumbra, which was normal at the end of ischemia, decreased by 40-60% 1 h after circulation was reestablished (induced by thread removal) [23].

The various models of focal ischemia each have their advantages and disadvantages, with associated error rates at which no infarction or fatal ischemia occur. The ITI method, utilized worldwide [24–28], involves insertion of a nylon thread from the common (CCA) or external carotid artery into the internal carotid artery, with the objective of occluding the orifice of the ipsilateral MCA in a blind manner.

Insertion of the thread causes simultaneous tandem occlusion or stenosis involving the ipsilateral ICA, with or without the ipsilateral anterior cerebral artery, posterior communicating artery, and posterior cerebral artery. While the ITI method does not require a burr hole and intracranial surgery, the level of reduction in rCBF varies because of slight differences in individual vasculature and the contribution of the coagulation system and normal cerebrovascular reserve system. The size of the ischemic lesion varies widely [29], producing a subgroup of animals with no/faint and huge/fatal infarction. The ITI model is associated with substantial variability in the coefficient of variation of the size of the infarct (IVC), ranging from 5 to 200% [1]. Due to the expandable IVCs, many studies with low IVCs have used individual (laboratory-specific) animal exclusion criteria that are applied after the insertion of the thread.

A review of the absolute values of lesion sizes obtained by protocols using the ITI method described in the literature revealed a great variability in IVC that ranged from 5 to 102% (n = 19); a range almost 2 times wider than that of the 3-vessel occlusion (3-VO) method, which has been found to have a range in variability from 13 to 52% (n = 19) [29, 30]. After temporary focal ischemia for 2–3 h, there was a significant difference in the IVCs: 34% in the ITI model, versus 22% in the 3-VO model (p = 0.026, t test, n = 19 in each model) [29]. In addition to the difference in vascular reserve between the basal ganglia and the cortex, abundant neurons in the striatum are highly vulnerable to ischemia [31, 32]. Such inconsistent vulnerability of neurons in the basal ganglia is also a factor that contributes to an increase in the IVC value.

The mortality rate is not always reported in the ITI model, but has been reported to be 71% at 24 h after 2-hour ischemia in rats [33]. The fact that all animals died within 32 h after the induction of ischemia in the original ITI report [24] demonstrates that thread insertion into the cerebral artery is not 'less invasive' compared to open surgery. In the case of human intravascular intervention, the use of systemic heparin and a heparin-coated catheter are essential to prevent blood coagulation in and around the catheter, as well as subsequent thrombus/embolism formation.

The insertion of a nonheparinized nylon thread, i.e. a biologically active (foreign) substance sitting in the arterial lumen for a prolonged period (\geq 30 min), activates the blood coagulation system, resulting in multiple uncontrollable embolisms [34-36]. When the ITI technique was applied to C57 BL/6 mice, the mortality rate was 17-37% at 24 h after 60-min ischemia [37], and 25-80% at 48 h after 30- to 60-min ischemia [38]. However, information on acute death is often not provided in descriptions of the ITI model [39-41]. We speculate that failure to disclose (or lack of recognition of the importance of disclosing) the number of excluded animals in each group after the insertion of the thread (or the total number of animals in each group at entry) is the primary reason for difficulties related to poor interlab comparability in the ITI model. While it has become increasingly apparent that transparent reporting of individual criteria and prior incorporation of such criteria into the protocol are essential, few papers fulfill these requirements [42].

A model of focal ischemia that removes or minimizes the need for animal exclusion during and after ischemia is expected to preclude observation bias and reporting of false positive results. Among the strategies to induce focal ischemia, the 3-VO method sufficiently suppresses the compensatory effect of the cerebrovascular reserve system. We found that temporary occlusion of both CCAs in conjunction with permanent unilateral occlusion of an MCA consistently produces focal ischemia, rCBF that is >10% but <20%, and adequate reperfusion in the cortex, achieving good reproducibility for the homogeneous development of cortical infarction [29]. However, removal of the zygomatic arch to open a burr hole carries a risk of venous bleeding that might increase IVC values.

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To improve the quality of preclinical assessment and reproducibility of data, we developed a model of focal ischemia that has a lower IVC value and lower mortality in the acute phase. Here, we demonstrate techniques that involve sharp dissection, including a detailed description of the transtemporal muscle (fascia) approach, with the use of multiple figures and an online supplementary video (for all online supplementary material, see www. karger.com/doi/10.1159/000356048) that facilitates the training of researchers who have not tried or succeeded in implementing the 3-VO model. This bloodless surgery resulted in a brief ischemic time, a short skin-to-skin time, and a low IVC value, with low mortality.

Experimental Procedure

Materials and Reagents

For the assessment of the rCBF and the development of cerebral infarction/neurological deficit, C57BL/6J male mice (CLEA-Japan, Tokyo, Japan) weighing 23–24 g and being 8 to 9 weeks old were used; for the comparison of the survival rate, the mice were 26–27 g and 12 to 13 weeks old. The following reagents were used: halothane, 20% mannitol, heparinized sodium phosphate-buffered saline (PBS, 10 mM, pH 7.5), and a 2% solution of 2,3,5-triphenyltetrazolium chloride (TTC).

Equipment

Equipment for Monitoring Physical Parameters

- Biological amplifier (AP-611G, AP-600G; Nihon Kohden, To-kyo, Japan)
- Digital thermometer (NS-TC10 temperature controller, Neuroscience, Inc., Tokyo, Japan)
- Blood pressure meter (BP-98AW; Softron Co. Ltd., Tokyo, Japan)

Equipment for Anesthesia

- Halothane vaporizer (Halowik Muraco Medical Co., Ltd., Tokyo, Japan)
- Body warmer (K-module, model: K-20 64-N020; Baxter, Deefield, Ill., USA)

Surgical Instruments

- Surgical microscope (OME 5000; Olympus, Tokyo, Japan, M 650; Leica, Germany, or Hi-R 700; Mölle-Wedel GmbH, Germany)
- Small animal holder (NC8-1S; Naigai, Tokyo, Japan)
- Bipolar coagulator (AESCULAP tb50; B. Braun Melsungen AG, Melsungen, Germany)
- Micorforceps connected to the coagulator (GK690R, B. Braun Melsungen AG)
- Bone rongeur for zygomatic arch, double-action curved delicate (16020-14, FST, Germany)
- Electric drill for opening the burr hole (Proxxon[®], No. 28593 drill, No. 28750 engraving cutter; Kiso Power Tools, Osaka, Japan)

- Microforceps (Inox-3; Dumont, Montignez, Switzerland)
- Microsurgery scissors (AESCULSP FD012R, B. Braun Melsungen AG)
- Needle and a thread for skin sutures (63B-173 & Silk 5-0 or 6-0, Matsuda Ika, Tokyo, Japan)

Instruments for Clipping the CCA

- Clips for the CCA occlusion (No. 07-096-01 YZ-200 (25g); Mizuho Ika Kogyo, Tokyo, Japan)
- Clip holder (07-097-00, Mizuho Ika, Tokyo, Japan)

Tools for Cerebral Infarct and rCBF Analyses

- Animal brain cutter (MBSC-01S, Neuroscience, Inc., Tokyo, Japan)
- Image analyzer (Win ROOF[®]; Mitani, Fukui, Japan)
- Laser-Doppler blood flowmetry (TBF-LN1; Unique Medical, Tokyo, Japan)
- Glass fiber-type laser probe (order made for the mouse brain, Unique Medical)

Animal Care

This protocol was designed in accordance with the guidelines and regulations issued by the Animal Care and Use Committee of the National Cerebral and Cardiovascular Center in Japan. Every effort is made to minimize the number of animals used and their suffering. All mice are allowed food and water ad libitum before and after the ischemic induction.

Induction of Temporary Focal Ischemia

Preparation for Surgery

- Induce anesthesia by applying a mixture of 1.0–1.5% halothane in oxygen and nitrogen. Lower the concentration of halothane between 0.2 and 1.0% during operation. Note: observe the vital signs at all times point during the operation. Adjust the depth of anesthesia (deep or shallow) according to painful or painless procedures and time. Identify and solve emerging issues promptly if body temperature, blood pressure, or heart rate becomes abnormal, so as to ensure smooth and regular spontaneous respiration and uniform anesthesia, as well as minimize differences among individuals and increase comparability.
- Suture the left eyelids to protect the eyeball from craniofacial surgery. Shave hair around the left zygomatic and frontal neck area widely with razor blade with 70% alcohol. Note: avoid hypothermia during hair shaving by minimizing use of alcohol.
- Monitor the heart rate, systemic and diastolic blood pressure via the proximal tail artery. To avoid the use of a muscle relaxant that suppresses the respiratory function, careful adjustments of halothane concentration are needed by monitoring spontaneous respiration and the physiologic parameters.
- Regulate rectal (core) temperature at the range, 37 ± 0.5°C, by means of a heating lamp and the body temperature controller with a heating table and a thermometer before (5 min), during (0, 5, 10, 15 min) and after surgery (15 min).
 Exposure of the CCAs for the preparation of 3-VO.
- In a supine position, make a midline incision at the front neck, lift up the fat tissue and the thyroid gland rostrally, and expose both CCAs (fig. 1: 1–4).



Yang/Nakajo/Iihara/Kataoka/ Nakagawara/Zhao/Yanamoto • After the exposure of CCAs (fig. 1: 4–13), occlude the left CCA at the location just proximal to the CCA bifurcation using a microclip (fig. 1: 14–15). The temporary occlusion of the left CCA prior to the MCA is beneficial to reduce the volume of the vascular bed during the procedure in the left face. Note: when exposing the CCAs, always dissect and separate the connective tissues, avoiding pinching of the muscles, nerves, veins, and arteries.

Induction of 3-VO

- Place the head in the right lateral position, keeping the lower body trunk in a supine position, and incise skin horizontally along the left zygomatic arch to expose the fascia of the temporal muscle underneath (fig. 1a–d).
- After exposing the fascia of the left temporal muscle, cut the fascia along the ventral edge of the zygomatico-orbital branch of the facial nerve that is running on the fascia along the zygomatic arch horizontally (fig. 1e, f). Retract the nerve together with the orbitozygomatic vein that runs dorsoparallel to the nerve, cut the muscle under the fascia horizontally, and expose the surfaces of the zygomatic bone (fig. 1g–j). This transtemporal fascia approach can avoid damage to the orbitozygomatic vein, and occlusion-induced delayed venous bleeding. Open the capsule of the temporomandibular joint (temporomandibular articulation) (fig. 1k). After cutting the sphenomandibular ligament, cut and remove the zygomatic arch with the rongeur (fig. 1l–o), paying attention not to damage the external jugular vein running at the right corner in the surgical window. Note: in this step, avoid tear injuries to the veins by the sharp tips of the microforceps.
- Fig. 1. The surgical procedures to induce temporary focal ischemia in the mouse cortex are divided into 3 major steps. 1-15 Surgery at the neck for the occlusion of the left CCA for the preparation of the head surgery. **a-z** Surgery of the head including the removal of the left zygomatic arch and permanent occlusion of the left MCA. 1-4 Achievement of 3-VO by occluding the right CCA. a Schematic diagram of the incision set on the left head (the dashed line). The left evelids are closed with a suture. **b** On the right side, sublingual gland appears under the skin (asterisk). c Remove the gland (asterisk) by cutting the surrounding connective tissues. d Expose the surface of the temporal muscle (fascia) and identify the zygomatico-orbital branch of the facial nerve that runs horizontally on the muscle (the dashed line shows the ventral edge of the nerve branch). e Cut the fascia linearly at the ventral edge of the facial nerve using microscissors. f Free the facial nerve and the facial vein together with the upper edge of the temporal fascia from underneath the temporal muscle and retract 'the nerve, vein and the fascia complex' dorsally using microforceps. g Expose the surface of the zygomatic arch by cutting and separating the bare muscle band horizontally. h Retract the orbitozygomatic vein (arrow) dorsally together with the fascia and the nerve, and expose the dorsal edge of the zygomatic arch. i Complete the exposure of the upper edge of the zygomatic arch, completely detaching the orbitozygomatic vein coming behind the arch (arrow). j Cut off the temporal muscle from the ventral zygoma with scissors and expose the ventral edge of the zygomatic arch. k Insert the tips of a microforceps and
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- Retract the mandible bone ventrally, and expose the left temporal bone (fig. 1p). Note: the view of the temporal bone can be maintained by hand-made rectangular needle retractors. Installation, traction, and removal of the retractors should be performed carefully to prevent vice-associated injury of the muscle or venous tissue.
- Drill a 1-mm diameter burr hole at a point 2 mm superior and rostral to the foramen ovale, at the location of nerve impression on the temporal bone by the extracranial trigeminal nerve root (fig. 1q–t). Through the drilled burr hole, the left MCA (red) and the lateral edge of the left olfactory tract (white) are seen. Note: drill the skull until the internal plate of the skull cracks, leading to simultaneously tearing of the dura mater and a small amount of cerebrospinal fluid leakage.
- Remove the cracked bone pieces with microsurgical forceps and expose the left MCA (fig. 1u, v). Note: in the removal of the bone pieces, hold the pieces away from the MCA trunk to avoid damage to the MCA.
- Cauterize the MCA using a bipolar coagulator at the lateral edge of the olfactory tract, with the output power around 0.2–0.3 (fig. 1w, x). After the cauterization, cut the MCA with microscissors permanently (fig. 1y, z). Note: wash the surgical field with 20% mannitol before electrocoagulation of the MCA to prevent char from forming on the tips of the bipolar forceps [43]. The MCA may stick to the surface of the forceps during the char formation and bleed.
- Immediately after the permanent obstruction of the MCA, clipocclude the right CCA just proximal to the bifurcation, which completes the 3-VO (fig. 1: ①-④). After the induction of focal

stretch/tear the capsule of the temporomandibular joint to open the mandibular joint (arrow). I Cut the sphenomandibular ligament on the left of the joint (arrow). **m** Complete the exposure of the zygomatic arch (dotted lines: the bilateral borders to cut off). **n** Cut the cephalad side of the zygomatic arch with the rongeur, not damaging the internal jugular vein at the right corner (arrow). • Cut the caudal side of the zygomatic arch (arrow). **p** Cut off the muscles attached to the temporal bone to prepare for drilling. **q** Confirm the extracranial part of the trigeminal nerve (triangle) and find the bony impression of the trigeminal nerve on the temporal bone (arrow). **r** The location of the burr hole (dashed circle). s Mill the temporal bone by the burr. t After the drilling of the temporal bone, the MCA is visible through the bone window (arrow). **u** Remove the pieces of residual thin bone flaps with the microforceps. v Exposed MCA trunk shown by the arrow. w Collapse using the bipolar coagulation and occlude the left MCA permanently (arrow: the location to coagulate). x The coagulated MCA (completely intercepted site: arrow). y Cut the MCA at the intercepted site to prevent an incidental recanalization (arrow: the collapsed MCA). z The edge of the intercepted MCA (arrow). 1 Reopen the neck and visualize the right CCA. 2 Apply the clip deeply to the right CCA just proximal to the bifurcation. 3 Clip-occlude the right CCA to complete the 3-VO procedure. 4 Wait 15 min, covering the exposed CCAs to protect from drying up using the fat tissue as a cap.



Fig. 2. Procedures of the rCBF monitoring. **a** Expose the surface of the temporal bone [dashed circle: the location of burr hole; arrow: the nerve (trigeminal) impression on the bone]. **b** Mill the temporal bone by gentle touches with the drill. **c** The left MCA (arrow) through the thinned temporal bone. **d** After the removal of the residual bone pieces with the microforceps (arrow: the MCA; asterisk: the location to set the laser probe on the temporal bone). **e** Put the tip (arrow) of the probe (triangles) at the location on the temporal bone. **f** Dip the glue onto the 17-gauge needle (asterisk) first and transfer it to the fiber probe (arrow). The glue slowly goes down to the surface of the temporal bone by gravity. **g** The glue spreads over the temporal bone (dashed loop), including the burr hole. **h** Take a small piece of antibiotic powder (arrow) with the microforceps, which is used as an accelerator of the glue, and put

it on the glue. i Mix the powder with the glue to accelerate the solidification of the glue. j Fill the surgical field with saline using the space between the microforceps (asterisk). k Saline also accelerates the solidification of the glue. I Absorb saline in the surgical field with gauze (arrow). m Confirm the fixation of laser probe and the solidification of the glue over the burr hole (dashed loop). n Remove the excessive part of the glue formed into a thin sheet over the bone. o Removal of the glue sheet visualizes the MCA (arrow). p Total exposure of the burr hole and the MCA (arrow). q Occlude the left MCA using the bipolar coagulator. r Confirm the coagulation of the MCA (arrow). s Cut the MCA at the coagulated site to interrupt the blood flow permanently. t Confirm the edge and complete dissection of the MCA (arrow).

ischemia for 15 min, remove the CCA clips to allow reperfusion. The duration of ischemia may be adjusted according to the animal or experimental purposes.

• After the removal of the neck clips, suture the incised skin in the head and neck and terminate general anesthesia to finish the operation. Note: avoid postischemic hypothermia by placing mice in an appropriate temperature-regulated place. Confirm the body temperature is in the normal range at least 15 min after ischemia [44].

Evaluation of Neurological Deficits

The functional consequences caused by the focal ischemia were examined according to the scoring scale [45, 46]. Balance in the body trunk while being lifted by the tail was graded in line with the following criteria: 0 = no deficit (no twisting of the body), 1 = mild deficit (asymmetric twisting tendency of the body), and 2 = severe deficit (repeated asymmetric twisting of the body). Motor function of the extremities while being lifted by the tail was graded as follows: 0 = no deficit (symmetrical movement of the forelimbs), 1 = mild deficit (intermittent asymmetrical flexion of the forelimbs), and 2 = severe deficit (continuous asymmetrical flexion of the fore-

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Fig. 3. a Mouse brain slices (1-mm thick) stained by TTC solution 24 h after the induction of 3-VO ischemia. The infarcted lesion in the acute phase began to liquefy within 1 week (1 W), and was completely liquefied at 2 weeks (2 W). **b** The cortical infarction increased over time, but did not achieve a significant difference (p = 0.052; n = 10/group). **c** The infarct lesion volume was analyzed 24 h after 1-, 2-, and 3-VO. Cortical infarction developed in 14, 75, and 100% of the cases after the induction of ischemia by 1-, 2-, and 3-VO, respectively (n = 7-8/group). The number indicates IVC in each method. p = permanent; m = modified temporary for 15 min (only the MCA occlusion is present).



 a
 Acute
 Chronic (1 W)
 Chronic (2 W)



limbs). The neurological deficit score (from 0 to 4) comprises the sum of the grades of the balance in the body trunk and motor function of the extremities.

Measurement of Infarct Lesion Volumes

The infarct lesion volumes were analyzed at 24 h (acute phase study), or 1 or 2 weeks (chronic phase study) after ischemia (n = 20 in each group). We also produced 1-, 2-, and 3-VO models to compare the variability in the induction rates and the depths of ischemia (n = 10 in each group). The animals were deeply anesthetized and perfused intracardially with 20 ml of ice-cold heparinized sodium PBS (10 mM, pH 7.5) at 50–60 mm Hg to wash blood components from the vessels in the brain. The brain was removed, cut coronally from the frontal tip into 1-mm thick slices, and stained by a 2% solution of 2,3,5-TTC [47]. Stained slices were fixed by immersion in 4% paraformaldehyde PBS. The absolute size (mm³) of the cortical infarction (white area) and the total

hemisphere were measured in all slices by tracing the borders with a computer-assisted image-analysis system (WinROOF).

In the acute phase, an edema index (the volume of the left hemisphere divided by the volume of the right hemisphere) was used to obtain the infarct index (the infarction volume divided by the edema index), eliminating the influence of brain edema. The infarct index represents the absolute infarct volume, excluding any enlargement due to cerebral edema. In the chronic phase, the infarct volume, calculated by subtracting the volume of the intact part of the left cortex from the volume of the right cortex, includes acute necrosis plus the volume that represents delayed cerebral atrophy [46].

Determination of rCBF

Alterations in rCBF were monitored using by laser-Doppler blood flowmetry at the peripheral area among the ischemic region. All of the whole procedures are shown in figure 2. Set the fiber probe at 1 mm caudal to the occluded/cut site of the MCA. Use the



Fig. 4. The alteration in rCBF after permanent 1-VO (left), 15-min 2-VO (center) or 15-min 3-VO (right) in comparison with the preischemic control. There was no significant reduction in rCBF after 1-VO; however, although not significant, a paradoxical increase

occurred rapidly after the MCA occlusion, probably due to the vascular reserve system in the cortex that rescues the ischemic region by dilating collateral arteries. The rCBF value was significantly reduced after 3-VO (n = 7-8/group).

laser-Doppler blood flowmetry before, during, and after 3-VO. It is necessary to avoid all visible small vessels when the probe is placed with the aid of a surgical microscope. Laser-Doppler recordings are expressed as percentages of the preischemic baseline. Note: when obtaining the rCBF value before the induction of ischemia as a control, confirm the removal of the clip at the left CCA that prevented bleeding during surgery in the left face. For placement of the laser probe, a wide exposure of the temporal bone is needed on the right side of the burr hole. Prior to glue fixation of the probe, needle retractors at each of the four corners is recommended to obtain a wide field of operation. A small amount of glue is enough to fix the laser probe onto the bone surface. Avoid getting glue on the retractors or surrounding muscles.

Statistical Analysis

All data are presented as the means \pm SD. Several general linear model procedures including multivariate repeated measures, one-way or two-way ANOVA can be applied to compare the variance within the different parameters. Neurological deficit scores were analyzed by the nonparametric Kruskal-Wallis analysis of ranks. Survival rates were analyzed by Kaplan-Meier survival analysis: log-rank test. p < 0.05 was considered to be statistically significant.

Results

Every animal survived at the time point 24 h after the induction of 15-min 3-VO in the series of 50 consecutive mice. There was no significant difference in body temperature, heart rate, and mean blood pressure over the observation period before, during, and after the induction of ischemia.

Analyses of the Infarct Volume

In each mouse, the development of cerebral infarction visualized by TTC staining after 3-VO was limited to the left cortex in the MCA territory. The representative 1-mm thick brain slices stained with TTC solution are shown in figure 3a. The border of the TTC stain is well demarcated, enclosing a white-infarct area in contrast to red-stained normal brain.

The edema index in the acute phase was 1.12 ± 0.03 . The infarct volumes were $20.8 \pm 3.2 \text{ mm}^3$ (IVC: 15%), $23.2 \pm 3.1 \text{ mm}^3$ (13%), and $24.0 \pm 3.5 \text{ mm}^3$ (15%), 24 h (acute), 7 days (chronic 1 W), or 14 days (chronic 2 W) (mean \pm SD) after ischemia, respectively (fig. 3b). The mean infarct lesion volumes developed 24 h after 1-VO, 15-min 2-VO, and 15-min 3-VO, with rCBF monitoring for 40 min after the induction of ischemia, are shown in figure 3c.

Measurement of rCBF

The rCBF values in the MCA territory in the cortex, peripheral to the ischemic core, are shown in figure 4. The rCBF values demonstrated levels of ischemia after 1-VO (permanent without reperfusion), 2-VO, and 3-VO (with reperfusion). The reduction in rCBF induced by 3-VO was the sole intervention that achieved the critical range of rCBF >10% but <20%, which is needed for the generation of a penumbral area.

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Fig. 5. Alterations in the neurological deficit scores. The score in the acute phase improved gradually into the chronic phase (n = 10).



Fig. 6. Alterations in the survival rate after the induction of 15-min 3-VO ischemia. All animals survived after ischemia in the acute phase. The survival rate in the chronic phase decreased to 50% in the group of 8- to 9-week-old mice (n = 32), but only to 80% in the 12- to 13-week-old animals (n = 10).

Assessment of Neurological Function and Survival

The neurological deficit scores assessed 24 h and 2, 3, 4, 5, 6, and 7 days after 3-VO ischemia are shown in figure 5. The score in the acute phase (3.0 ± 0.9) improved to a third of the initial level 7 days after ischemia. More animals survived in the group of 12- to 13-week-old mice compared to the 8- to 9-week-old mice, although the difference in the survival rates was not significant in the present size (p = 0.08, n = 42 in total; fig. 6).

Discussion

In this study, we attempted to generate a penumbral region (rCBF >10% but < 20% of preischemic control values) by the 1 (single)-VO, 2 (tandem)-VO, or 3 (multiple)-VO method in mice (fig. 1c), and demonstrated that single or tandem occlusion does not cause a significant reduction that is consistently below the upper threshold. Because of the cerebrovascular reserve system, simple occlusion of the MCA trunk did not generate infarcted lesions of consistent size in the cortex.

Regarding the relationship between the number of occluded arteries and the incidence of cerebral infarction in normotensive rats, permanent occlusion of the MCA orifice (1-VO, the arterial origin; 1–2 mm) by a vascular clip, which does not stimulate the coagulation system in the blood or generate multiple embolisms, resulted in cerebral infarction in only 13% of cases [48]. The wellknown 1-VO method [49] targeting the proximal MCA (in the M1 segment), the location where the lenticulostriate perforating arteries of the basal ganglia originate, provides a reliable model for causing reproducible infarctions in the basal ganglia, but generates infarctions of varying size in the cortex [50].

Furuya et al. [51] reported a 1-VO model using C57BL/6J mice (the strain used in this study and also the strain most widely used to generate transgenic animals) in which clip-occlusion of the MCA (1-VO) for 1–2 h, at a location proximal to the olfactory branch, achieved rCBF that was 30% of preischemic control values on average, providing infarcted lesions with IVC values of 15–32%, and mortality rates of 18–20% at 24 h (the anatomical distribution of the infarcted lesion was not described). The IVC value and the mortality rate were low to moderate in their 1-VO model, but the time needed for generation of consistently ischemic lesions was relatively long (the equivalent of about 12–24 h in humans).

Permanent occlusion of the proximal MCA and the ipsilateral internal carotid artery (permanent 2-VO, tandem) has also led to the development of an infarct of varying size in the cortex of normotensive rats, ranging from 38 ± 50 to 209 ± 34 mm³ (IVC: 16–132%) [50]. In other

words, the 2-VO method involving the proximal portion of the MCA, a similar strategy to that utilized in the ITI method, produces substantial variability in IVC values. Because of the system of cerebrovascular reserve, it is difficult to induce a consistent reduction in rCBF below 20%, in order to generate a penumbral area, using the 1-VO or 2-VO methods. In contrast, the 3-VO technique has consistently generated a penumbral area, with an rCBF between 10 and 20% (fig. 1c, 2 right).

Temporary 3-VO does not cause thrombus/embolus formation [29]. On the other hand, pharmaceutical or thrombin-induced MCA occlusion models [52] are designed to cause severe stagnation in the bloodstream that stimulates the intravascular coagulation system, resulting in thrombus/embolus formation and hemostasis-induced segmental occlusion. Such segmental occlusion tends to cause localized multivessel occlusion, which reduces vascular reserve and increases the probability of cerebral infarction. In the permanent 3-VO occlusion model with vascular clips, without the insertion of a foreign body that stimulates the coagulation system, the reduction in the rCBF was not permanent, but recovered to >30% of the preischemic value within 4 h after the induction of ischemia [53]. It was thus demonstrated that permanent 3-VO without blood stagnation or coagulation in the vascular lumen is not accompanied by a persistent reduction in rCBF, probably due to compensatory gradual dilatation of collaterals. Such a delayed activation of vascular reserve can not rescue the brain under ischemic penumbra without the induction of hypothermia [53] or other neuroprotective interventions, but it may prevent the expansion of the tissue damage.

In the ITI method, an adequate reduction in rCBF of below 20%, which is needed for the induction of an infarcted lesion, was achieved in just 46% of cases in the primary thread trial, and another 10% achieved successful reduction with filament correction based on rCBF monitoring in Sprague-Dawley rats [28]. In order to exclude cases of incomplete vessel occlusion, and also to exclude cases of thread insertion-derived traumatic subarachnoid hemorrhage that increases IVC, bilateral monitoring of rCBF in each animal was essential and an exclusion of animals (34%) with no infarction was necessary to obtain a low IVC in the ITI model [28]. When the ITI method was applied to C57BL/6 mice, 42% of cases had to be excluded because of spontaneous recovery in rCBF of above 30% during the thread-insertion period, which led to no or only faint development of an infarcted lesion [37]. Thus, the success rates in the ITI method in both rats and mice are similar.

In the ITI method, apart from the variability in volumes, infarcted lesions easily spread beyond the MCA territory, including to the thalamus, hypothalamus, hippocampus, substantia nigra, and retina, probably via thrombus/embolus formation on the surface of the inserted thread [36, 38, 54]. Moreover, it is known that a slight difference in thread characteristics (diameter, tensile strength, and extensibility) causes great variability in infarct lesion volumes and their reproducibility [35], and there has been criticism that the data obtained using the ITI model are still far from being standardized worldwide [51]. Numerous modifications of occlusive thread have been reported, including the recent proposal that the length of the thread coating should be adjusted to avoid occlusion of the ipsilateral posterior communicating artery and the subsequent expansion of the ischemic lesion into the posterior cerebral artery territory that results in development of massive cerebral infarction and acute death in C57BL/6 mice [55, 56].

In primates (baboons), proximal MCA clip occlusion (1-VO) for 6 h generated cerebral infarction limited to the basal ganglia in 60% (6/10 cases) of animals [57]. Proximal MCA balloon occlusion (1 or 2-VO) for 1 h produced a small infarction limited to the basal ganglia in 67% (4/6 cases), and with a high mortality rate of 33% at 14 h after reperfusion in the rhesus macaque (Macaca mulatta) [58]. These data on primates demonstrate that the 1- or 2-VO strategy is particularly limited in terms of generating infarcted lesions of consistent size. In contrast, using a modified 3-VO method (both anterior cerebral arteries and the MCA distal to the orbitofrontal branch) for 1 h produced moderate-sized infarcted lesions in the cortex $(25.8 \pm 2.1\%, \text{IVC: } 8.1\%, \text{ n} = 5)$, with no mortality at 48 h in rhesus macaques [59]. Thus, the consequences of 1-, 2-, and 3-VO in both rodents and primates are similar.

The adequacy of the MCA model in the evaluation of neuroprotective intervention depends on the achievement of an appropriate reduction in the rCBF. Although 3-VO was designed to produce an ischemic penumbra consistently for a specified period, an identical 3-VO pattern with the same onset seldom occurs in humans. Regarding the general relevance of the 3-VO method to clinical stroke, the anatomy of cerebral arteries comprising the anterior, middle, posterior, and communicating arteries, perforating arteries in the basal ganglia, and abundant anastomoses in the cortex are the same for rodents, primates, and humans. Therefore, the significance of the vascular reserve system in the cortex and the strategy needed to generate ischemic penumbra do not differ between primates and rodents. Based on this common anatomical background, the occlusion of both CCAs is not an emulation of human stroke, but instead a substitute of the state with appropriately reduced vascular reserve [15].

The logic and rationale for the 3-VO occlusion technique in rodents and primates is as follows. Permanent loss of MCA perfusion is not by itself sufficient to produce reliable infarcts due to the capacity of the collateral vasculature to maintain adequate blood flow to its territory. Since the preponderance of the literature indicates that even severe ischemia, although perhaps producing some selective loss of vulnerable neurons, does not lead to consistent cortical infarction, it would therefore appear that reducing collateral perfusion (for only 15 min in mice, or 1 h in primates) results in a state of more severe vascular insufficiency than would have persisted if only the MCA had been occluded. Perhaps this reflects a transition to a state characterized by constrictive vascular responses, i.e. an inverse hemodynamic response to spreading depolarization or intermediate peri-infarct (ischemic) depolarization in the peri-infarct region [13], referred to as monophasic hypoperfusion [60]. Theoretically, neurons in the ischemic penumbra can survive for a prolonged period if sufficient dilatation of the collaterals occurs (as observed in the stage 2). Transient depression of electrical activity on EEG caused by spreading depolarization and lasting for a few minutes in tissue under nonischemic normal conditions is accompanied by vasodilatation of collaterals and hyperemia [13, 61]. However, such compensatory, dilative vascular responses have not been observed in the peri-infarct area in positron emission tomography studies of patients with acute ischemic stroke (unpubl. observation). In accord with this finding, monophasic hypoperfusion (caused by reactive, prolonged vasoconstriction) can be observed at the peri-infarct region, where a cluster of intermediate peri-infarct or ischemic depolarizations were found to cause persistent depression of electrical activity (persistent electrical silence) [13, 60]. It is likely that persistent electrical silence (persistent depression of neuronal activity) with preserved energy metabolism is integral to the definition of ischemic penumbra.

Currently, there is no evidence to suggest that primate ischemic models are superior to rodent models in the assessment of ischemic damage in the brain. We believe that it is not rodent models or the body sizes, but researcher manipulation of rodent models that has become unreliable. Without the consideration of the cerebrovascular reserve system, an intrinsic mechanism to prevent focal ischemia, especially to protect the cortex after 1- or 2-VO, the unfavorable situation in rodent models, i.e. failing to conduct appropriate evaluations of ischemic injuries and disclose excluded animals, may also occur in primates.

Because a pure cortical infarct in the MCA territory is not considered lethal and the mortality rate was reduced in slightly (4-weeks) older mice, it is possible that drinking and eating disturbances in the acute phase after surgery affected survivability in mice. Whether appropriate nutritional management in the acute phase may reduce the mortality rate, as was observed in this study, is a matter requiring further investigation.

Regarding the choice of anesthetics, it has been reported that significant respiratory depression was not observed with isoflurane, sevoflurane, or halothane in mice [62]. Although there is a transient increase in plasma lactate levels after ischemia [63, 64], isoflurane has been used as an alternative to halothane in mice [36], where halothane has not been readily available.

We utilized the TTC method that visualizes surviving neurons and proliferation of reactive astrocytes (gliosis) in the acute and chronic phase, rather than utilizing the cresyl violet method that stains only surviving neurons. It was found that the infarct lesion rates in the total hemisphere were almost identical for both methods, but the brain tissue including degenerating and dead tissues shrank to 66%, on average, of the original volume after the dehydration procedure that forms part of the cresyl violet method, making it unsuitable for a chronological comparison [65]. In addition, the volume of gliosis in the chronic phase that can be visualized with glial fibrillary acidic protein was negligible in the analysis of infarcted volumes in the cortex. This was because measurable gliosis was found only in the corpus callosum below the cortical lesion, rather than in the border zone of focal ischemia in the cortex [65].

In the manipulation of animals, it is important to recognize that the rate of passage of biological time is correlated inversely with [body weight]², as represented by longevity and heart/respiration rate among animal species [66]. The biological time of 15–30 min in mice is regarded as 30 min to 1 h in rats [67], and 3–6 h in humans [68]. Seven days after ischemia in mice can be translated into 3 months in humans, a sufficient time for a mild-tomoderate neurological deficit to improve.

The new 3-VO model consistently induced temporary focal ischemia with a critical, but treatable, reduction in rCBF of 10–20% in the cortex, within the ischemic penumbra, which achieves a shorter, less invasive duration of surgery compared to other mouse models in the literature where the duration of ischemia was 30 (40) min [69], 60 min [39, 51], 90 min [40, 41, 70], or 120 min [51]. Completion of surgery takes 10 min (as shown in the online suppl. video) excluding the time for 15 min of ischemia.

To detect a 30% improvement in the mean infarct lesion volume, the previous 15-min 3-VO model required 42 animals in both placebo and treatment groups, with 80% power $(1-\beta)$ in a t test based on normal distribution [29], whereas the present model only requires 10 mice [46, 71]. Concerning the mortality rate in this model, the 4-week-older condition, or the age-dependent 3-gram (11–13%) gain in body weight, reduced the mortality rate in the chronic phase. Further investigations are needed to identify standard infarct lesion volumes for different ages, different nutritional statuses, and different species and genders.

Conclusion

We have demonstrated a new 3-VO model with a brief operation time, a low IVC value, and a low mortality rate after the induction of ischemia. This 3-VO model can produce an ischemic penumbra of consistent size. Our established methodology is expected to produce reliable preclinical evidence in the assessment of interventions that treat the ischemic penumbra, aiming to protect the brain from ischemic stroke.

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Disclosure Statement

The authors have no conflicts of interest to declare.

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